



Gujarat National Law University



ADVANCED CERTIFICATE PROGRAMME ON CAPITAL MARKETS & SECURITIES LAWS

December 03, 2022 - February 02, 2023

Under the aegis of
GNLU Centre for Business & Public Policy (GCBPP)

www.gcbpp.in

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CELEBRATING TWO DECADES OF EXCELLENCE OF B.COM LLB PROGRAMME (2004 - 2023)

ABOUT GNLU

Established in 2004 with an aim to become a Research-based Teaching university, Gujarat National Law University (GNLU), has always taken proactive initiatives and outlook to provide valuable and mutually beneficial services to the institution of governance, judiciary, economy and civil society, especially weaker sections of the society. GNLU has created a unique learning environment, emphasizing on research and training, coupled with a solid grounding in theory, with a vision to address the user's requirement, whether academic or not. The university constantly strives to establish an all-round and interdisciplinary academic culture consistent with the other National Law Universities of the country by offering undergraduate, postgraduate and doctorate courses in the field of law.

ABOUT GCBPP

GNLU Center for Business & Public Policy is a center for excellence in Business and Public Policy established by Gujarat National Law University with a vision of promoting interdisciplinary research and dialogue on contemporary business and public policy issues. It is working with a motto of providing platform for exchange of scholarly views and promotion of ethical and socially responsible entrepreneurial ventures.

ABOUT THE COURSE

Capital markets are the financial pulse of the economy. The maturity of an economy is gauged by the robustness of its capital markets. Having a robust and well-regulated securities markets facilitates companies to raise capital from the public and at the same time allows the public to be a part of the nation's corporate growth story. The Securities and Exchange Board of India (SEBI) is the principal regulator of capital markets and is established under the SEBI Act, 1992. SEBI, along with other regulators (Ministry of Corporate Affairs, RBI etc.) frame guidelines and regulations from time-to-time to be complied with by the companies, market intermediaries and market participants. Knowledge about these legislations, compliances and due diligence is crucial and pivotal for any person interested in securities law and capital markets. The current Programme endeavors to provide conceptual clarity about capital markets, various regulations and laws applicable to it, trading mechanics, derivatives, taxation of securities transactions and other key aspects. The programme will be a blend of theoretical and applied knowledge and is uniquely positioned to cover both, regulatory framework and technical aspects of the capital markets, and would be a first-of-its-kind offering. It attempts to bridge the gap that is created by existing courses in this domain through comprehensive modules covering capital markets, laws & regulations governing them and its market mechanics as well.

OBJECTIVES AND LEARNING OUTCOMES

This programme will equip the participants to:

- Critically understand the concepts and principles related to securities and capital markets
- Comprehend the legal and regulatory framework applicable to the capital markets
- Acquire a comprehensive understanding of Securities Law, rules and regulations along with its practical aspects
- Develop broad perspectives on Indian and international securities laws in all their dimensions and have an understanding of technical aspects of the capital market and its mechanics.

SCHEME AND DURATION OF THE COURSE

- Total number of teaching hours (sessions) - 70 hours
- The course shall commence its online leg on December 3, 2022 and will have sessions preferably on weekends. A total of 10 sessions shall be conducted online (tentative) with approximately 34 teaching hours.
- The offline leg of the course shall be from January 28, 2023 to February 02, 2023. There will be a total of 36 teaching hours in the offline leg followed by an evaluation.
- For the offline leg of the course, participants who opt for accommodation will be provided with the same by the university on an additional payment basis.

ELIGIBLE PARTICIPANTS

- Students enrolled in UG or PG courses in any recognised university, Scholars, Chartered Accounts, Company Secretaries, Cost Accountants, Advocates, Academicians, Professionals or Industry Practitioners.
- Total number of seats: 60
- 20 seats shall be reserved for the students of GNLU.
- Participation shall be strictly on a first come first serve basis.

RESOURCE PERSONS

- Experts from Regulators (SEBI, IFSCA etc.)
- Academicians (Professors from GNLU and other Universities)
- Practitioners (Partners from CAM, SAM and other leading law firms)
- Industry Experts

COURSE CONTENTS

- Primary & Secondary markets
- Key provisions of the SEBI Act, 1992; Companies Act, 2013; SCRA, 1956; & Depositories Act, 1996.
- Equity shares: Issuance and other regulatory requirements- SEBI ICDR Regulations, 2018
- IPO Analysis: Valuation, Pricing and Process
- Post Issue Compliance: SEBI LODR Regulations, 2015
- Secondary market infrastructure and key SEBI Regulations (Insider Trading, FUTP, Delisting etc.)
- SEBI (substantial Acquisition of shares and Takeovers) Regulations, 2011
- GIFT City IFSC & Key provisions of IFSCA Act and regulations issued there under.
- SEBI (AIF) Regulations, 2012
- Taxation of Securities
- Introduction to key trading concepts and stock exchange trading mechanisms
- Technical Analysis of charts, Price Action and key chart patterns
- Fundamental Analysis of Companies
- Introduction to Derivatives, Futures and Options
- Regulations in Financial Derivatives Markets
- Key concepts in Futures and Options- ITM, ATM, OTM, strike price, option chain data, Open Interest etc.
- Black Scholes Model for option pricing

For detailed course outline and schedule please click on the link below:

<https://tinyurl.com/3wupweed>

HOW TO APPLY?

- Interested participants are required to register themselves and pay the requisite fees through the Google form in the provided link.

[Link for Registration](https://forms.gle/RkVE15jzDresHTyL8)

<https://forms.gle/RkVE15jzDresHTyL8>

- In case of any problems with google form or payment gateway, you may mail us at dsl.gcbpp@gnlu.ac.in.
- Admission to the course will be confirmed only after payment of fees.

TEACHING METHODOLOGY

- Lectures by faculty members (both in virtual and physical mode)
- Interactive sessions by industry experts and practitioners
- Case Analysis
- Group discussion and Participation method
- Live IPO Analysis

FEEES

Rs. 10,000 plus applicable taxes.*

(GCBPP shall offer three '50% fee waiver scholarships' to needy participants. Participants who want to avail the scholarships are required to submit a Statement of Purpose at dsl.gcbpp@gnlu.ac.in which would be followed by an interview.)

EVALUATION PATTERN

Total 100 marks- 50 marks MCQ test and 50 marks for Research Paper presentation.

(Other details about mode of evaluation will be communicated by the organizing team in due course of time)

COURSE FACULTY

Prof. (Dr.) S. Shanthakumar
Director - Gujarat National Law University

Prof. (Dr.) Mayank Joshipura
Professor of Finance, NMIMS Mumbai

Dr. Narayan Baser
Associate Professor - PDEU

Mr. Dipesh Shah
Executive Director (Development) - IFSCA

Ms. Devaki Mankad
Partner - Cyril Amarchand Mangaldas

Mr. Oishik Bagchi
Partner - Khaitan & Co.

Mr. Ankush Goyal
Partner - Trilegal

Mr. Ayan Mukherjee
Assistant General Manager - SEBI

Dr. Mobin Shaikh
Assistant Professor of Management - GNLU

Dr. Divya Tyagi
Assistant Professor of Law - GNLU

Mr. Satya Ranjan Mishra
Assistant Professor of Commerce - GNLU

Please Note: The list of faculties is not exhaustive and subject to multiple additions. GCBPP shall share the updated list with the participants in due course of time.

- Registration fees are inclusive of breakfast, lunch and high tea on all days of the offline session.

IMPORTANT DATES

21 Nov.
2022

Last date for registration

03 Dec.
2022

Commencement of the online leg of the course

28 Jan.
2023

Commencement of the offline leg of the course

02 Feb.
2023

End of the course followed by an MCQ evaluation

25 Feb.
2023

Declaration of results

ORGANIZING COMMITTEE

CHIEF PATRON

Prof. (Dr.) S. Shanthakumar
Director

Gujarat National Law University

FACULTY

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STUDENT MEMBERS

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In case of any queries, please mail us at dsl.gcbpp@gnlu.ac.in